

Account:

- Operator holding account
 Maritime operator holding account
 Aircraft operator holding account
 Trading account
 Verifier account

Swedish Energy Agency
 Union Registry
 Box 310
 SE-631 04 ESKILSTUNA

Application to add an authorised representative/open an account in the Union Registry

All fields are mandatory except for fields/headers that are marked with a * are to be filled only if the Account holder is a natural person.

1 Account Holder/Organisation

Company name/Name*		
Company registration number/Passport number/Swedish Personal Number		VAT-number (incl country code)
Address		
Postcode	City	Country
E-mail address		Telephone number 1 Telephone number 2
Date of birth*	Place of birth*	Country of birth*

2 Mandatory information for Trading Accounts (To be filled in only by Trading Accounts)

LEI-code/LEI-nr (Legal Entity Identifier)

Beneficial owner

Name	Date of birth	Citizenship
------	---------------	-------------

3 Authorised Representatives

Please state whether this representative is a person authorised to sign for the firm, or a proxy <input type="checkbox"/> Authorised signatory <input type="checkbox"/> Proxy		
First name	Surname	Telephone number (direct)
Address (Company)		Mobile phone number
Postcode	City	Country
National social security number		E-mail address (unique)
Type of identity document <input type="checkbox"/> National ID Card <input type="checkbox"/> Passport <input type="checkbox"/> Other (driving license)	ID document number	The expiry date of the ID document

Authorised Representatives (cont'd.)

Please state whether this representative is a person authorised to sign for the firm, or a proxy <input type="checkbox"/> Authorised signatory <input type="checkbox"/> Proxy		
First name	Surname	Telephone number (direct)
Address (Company)		Mobile phone number
Postcode	City	Country
National social security number	E-mail address (unique)	
Type of identity document <input type="checkbox"/> National ID Card <input type="checkbox"/> Passport <input type="checkbox"/> Other (driving license)	ID document number	The expiry date of the ID document

Please state whether this representative is a person authorised to sign for the firm, or a proxy <input type="checkbox"/> Authorised signatory <input type="checkbox"/> Proxy		
First name	Surname	Telephone number (direct)
Address (Company)		Mobile phone number
Postcode	City	Country
National social security number	E-mail address (unique)	
Type of identity document <input type="checkbox"/> National ID Card <input type="checkbox"/> Passport <input type="checkbox"/> Other (driving license)	ID document number	The expiry date of the ID document

4. Mandatory information for opening a maritime operator holding account

IMO unique company Number (7 numbers)
Shipping company country of registration

Information regarding the responsibility of the ETS obligations. The organisation or person

Document listing the ships under its responsibility, as well as their respective IMO ship identification number. (if the shipowner is responsible for the ETS obligations) <input type="checkbox"/> No <input type="checkbox"/> Yes
Document indicating that the ITS obligation has been mandated by the shipowner to another organisation <input type="checkbox"/> No <input type="checkbox"/> Yes

5 Additional information

--

6 Signature

Date
Signature of the account holder (person authorised to sign for the organisation or a proxy)
Name

Explanation of the fields in the form

1 Account Holder/Organisation

The account holder is the legal or natural person responsible for the account in the Union Registry. Here you provide contact information and application information for the account holder.

2 Mandatory information for Trading Accounts

LEI-code/LEI-nr (Legal Entity Identifier): The code is a global identification code for companies and other organisations in the securities markets. Companies that trade in derivatives are required to report and must register to obtain an LEI code. Registration of LEI code takes place with an authorised issuer. The LEI code must also be entered in the Union Registry.

Beneficial owner: A beneficial owner is notified to the Swedish Companies Registration Office and is the person who ultimately owns or controls, for example, a company or an association. The information must also be entered in the Union Registry.

3 Authorised Representative

The representative is the one who represents the account holder in the Union registry. Here you provide contact information and application information for the representatives.

4 Mandatory information for opening a maritime operator holding account

If another organisation or person has assumed the responsibility for the operation of the ship from the shipowner and has agreed to take over all the duties and responsibilities of the ETS obligations, that organisation or person shall provide a document clearly indicating that it has been duly mandated by the shipowner to comply with the ETS obligations. The document shall be signed by both the shipowner and the organisation or person.

If no such agreement exist, the shipowner needs to provide document listing the ships under its responsibility, as well as their respective IMO ship identification number.

5 Signature

The form is signed by authorised signatory/signatories or a proxy for the account holder.

Contact information

Union Registry
Swedish Energy Agency/ Energimyndigheten
Tel. +46 (0)16 544 23 00
Monday - Thursday 09.00-11.00 13.00-15.00

<http://www.energimyndigheten.se/en/sustainability/eu-ets---implementation-in-sweden/>

email:

utslappshandel@energimyndigheten.se or emissiontrading@swedishenergyagency.se

The form is sent together with other documentation to:

Swedish Energy Agency/Energimyndigheten
Union Registry
Box 310
SE-631 04 ESKILSTUNA

Information on the Swedish Energy Agency's processing of personal data

The Swedish Energy Agency is responsible for the processing of personal data that is carried out as part of the Agency's work.

The regulations for the way in which personal data may be processed are contained in Regulation (EU) 2015/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (General Data Protection Regulation) and in the act (2018: 218) with additional provisions to the EU data protection regulation.

Personal data is all kinds of information that may refer directly or indirectly to a living natural person.

In order that the Swedish Energy Agency may process personal data, there must be legal grounds and a purpose for such processing. The legal grounds for the processing in question are exercise of authority. The purpose for processing the personal data submitted by you is for the account management and to administer the register under the Emissions Trading Act (2004: 1199) and Commission Regulation (EU) No 389/2013.

You have the right to request information about and gain access to the personal data relating to you. You can also request that incorrect data relating to you is corrected or to that data is deleted, or that the processing be restricted. In certain cases you may also have the right to object to the Swedish Energy Agency's processing of your personal data. If you feel that the Agency's processing of your personal data is in breach of the General Data Protection Regulation, you can submit a complaint to the Swedish Data Protection Authority.

If you have any queries concerning the processing of your personal data, you can contact the Swedish Energy Agency's Data Protection Officer.

Contact

Statens energimyndighet

Box 310

631 04 Eskilstuna

email: registrator@energimyndigheten.se